

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

In re application of

Docket No: Q67875

Kazuo KURODA

FEB 0 3 2004

Appln. No.: 10/029,178

Group Art Unit: \$28.

Technology Center 2600

Confirmation No.: 4612

Examiner: NOT YET ASSIGNED

Filed: December 28, 2001

For:

AV INFORMATION DELIVERY DEVICE AND METHOD, AV INFORMATION INFORMATION DELIVERY RETRIEVING **DEVICE** AND METHOD, AV

RETRIEVING SYSTEM, AND INFORMATION RECORDING MEDIUM

STATEMENT UNDER 37 C.F.R. § 1.704(d)

*Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in

37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure

Statement.

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Respectfully submitted,

Registration No. 23,063

WASHINGTON OFFICE

CUSTOMER NUMBER

Date: January 30, 2004

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of

Docket No: Q67875

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Appln. No.: 10/029,178

Group Art Unit: 2817

Respectfully submitted,

Registration No. 23,063

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Examiner: NOT YET ASSIGNED

Filed: December 28, 2001

For:

AV INFORMATION DELIVERY DEVICE AND METHOD, AV INFORMATION

RETRIEVING DEVICE AND METHOD, AV INFORMATION DELIVERY

RETRIEVING SYSTEM, AND INFORMATION RECORDING MEDIUM

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

FEB 0 3 2004

Sir:

Technology Center 2600

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

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Examiner: NOT YET ASSIGNED

Filed: December 28, 2001

For:

AV INFORMATION DELIVERY DEVICE AND METHOD, AV INFORMATION

RETRIEVING DEVICE AND METHOD, AV INFORMATION DELIVERY

RETRIEVING SYSTEM, AND INFORMATION RECORDING MEDIUM

<u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 FFB 0 3 2004

Technology Center 2600

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith. Also, enclosed is a European Office Action in a corresponding European Patent Application, citing the references enclosed with the IDS.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/029,178

Attorney Docket No.: O67875

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a

statement can be made, a statement is submitted herewith.

The present Information Disclosure Statement is being filed thirty days or fewer from the

communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is

attached.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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23373

CUSTOMER NUMBER

Date: January 30, 2004

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<u> </u>	MODIFICATION & A CO-03			
Substitute for Form 1,149 A & B/PTO	Complete if Known			
	Application Number 10/029,178			
INFORMATION DISCLOSURE	Confirmation Number 4612			
PE STATEMENT BY APPLICANT	Filing Date December 28, 2001			
<u> </u>	First Named Inventor Kazuo KURODA			
(use as many sheets as necessary)	Art Unit 2817			
N 3 0 E	Examiner Name NOT YET ASSIGNED			
Sh S	Attorney Docket Number Q67875			

U.S. PATENT DOCUMENTS						
	Cite	Document Number		Bullings Date		
	No.1	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 5,864,366		1/26/1999	YEO	
. (3)		US 5,805,733		9/8/1998	WANG ET AL.	
		US				
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		US	N. C.			
		US				

FOREIGN PATENT DOCUMENTS							
Examiner C	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		wo	99/41684	A1	8/19/1999	FAST TV	
		WO	99/32993	A1	7/1/1999	SHARP KABUSHIKI KAISHA	
		EP	0 555 028	A2	8/11/1993	INTERNATIONAL BUSINESS MACHINES CORPORATION	
						RECEI	VED
						FEB 0 3	2004
						Technology Co	nter 2600

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation	
			<u> </u>	
			 	

Examiner Signature	, , , , , , , , , , , , , , , , , , ,	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.